

Report of the Director of People

External Funding Panel - 2 November 2016

COMMUNITY ACTION TRANSFORMATION FUND

Purpose:	For the Panel to decide on the future of the Community Action Transformation Fund.
Policy Framework:	Medium Term Financial Plan; <i>Sustainable Swansea – fit for the future</i>
Reason for Decision:	To decide on the future of the Community Action Transformation Fund, the scheduled 6 th round, and future funding of the Community Action Transformation Fund
Consultation:	Finance, Legal, Access to Services.
Recommendation(s):	It is recommended that: 1) The Panel decide the future of the Community Action Transformation Fund <ul style="list-style-type: none">• There is no finance to continue with the Community Action Transformation Fund (CATF). There is currently £2,000 remaining of the original budget of £300,000. Should members wish to support the scheduled 6th round of funding and/or a further extension to the Community Action Transformation Fund the budget would need to be supplemented to allow for this.• Should members decide not to, or are unable to secure funding for the scheduled sixth round of CATF funding and/or any future rounds the Fund will cease to operate, the relevant webpages will be removed and potential and returning applicants will be informed of the situation as and when appropriate.
Report Author:	Spencer Martin
Finance Officer:	Pini Patel
Legal Officer:	Wendy Parkin
Access to Services Officer:	Sherill Hopkins

1. Introduction

1.1 Background, Sustainable Swansea Fit For the Future

The scale of the financial, demographic and sustainability challenge requires the Council to adopt a radically different approach to:

- *The core purpose of the Council*
- *The transformation of services and the model of delivery*
- *Greater collaboration with other councils and local organisations, community groups and residents*
- *And, above all, sustainable solutions with prevention at the heart of this*

This ambition is set out in *Sustainable Swansea – fit for the future*.

1.2 A number of Budget Principles underpin Sustainable Swansea, two of which are particularly relevant to the Community Action Fund:

- **Different Models of Delivery:** options will assess service provision (cost and outcomes) against other delivery models including: collaboration, outsourcing, partnering, community action
- **Personal Responsibility:** action is required to change behaviour and to increase the number of people and communities helping themselves as part of our approach to sustainability

1.3 Sustainable Swansea has four workstreams to achieve the desired change, one of which is **New Models of Delivery**. Part of the New Models of Delivery workstream is the **Community Action** strand. The aims of this strand are to:

- Build community capacity for self help
- Use community assets to support local action
- Increase and support voluntary sector provision
- Increase co-production

1.4 The City and County of Swansea Agreed to set aside the sum of **£300,000** to support the delivery of the aims of the Community Action strand of the Sustainable Swansea Project. In particular, funding will be aimed at proposals from community groups to:

- Develop proposals to run Council services locally and/or
- Facilitate the transfer of community assets

2. Principles Underlying the Fund

2.1 The Five underlying Principles of the fund are:

- 1) The Council will either reduce or withdraw from service provision across many current activities due to budget and hence service constraints.
- 2) The Council recognises the value of those services to the Community (either geographically or in the wider sense in terms of a user community), and wishes to encourage the continuation and development of those services through a different model delivered specifically by and through the Community.
- 3) The Council will not be able to support the cost of services going forward however delivered. (Unless they are directly commissioned or procured from the Community based provider)
- 4) The Council is willing to consider applications from interested parties who express an interest in continuing elements of service delivery which may or may not involve the use of current Council assets.
- 5) The Council will not retain any continuing liability for services or assets once transferred

3. Community Action Transformation Fund to date

3.1 There have been five rounds of Community Action Transformation funding to date, a sixth is scheduled to close to applications in March 2017. To date the CATF has;

- Had five rounds of funding
- Supported 17 projects
- Allocated a total of £298,058 to projects (budget £300,000)

A summary of successful applications is attached as **Appendix 1**

3.2 Due to the nature of the fund not all allocated funding has been claimed and/or paid to the recipients to date:

- Round 2 - 1 grant offer £5,500 - awaiting lease completion/information
- Round 3 – 1 grant offer £23,070 – awaiting lease completion/information
- Round 5 - Paperwork only recently sent to applicants – 3 grant offers £41,316

3.3 Of the supported applications a number may require future City and County of Swansea funding/support to develop the projects to fruition.

- Three of the successful applications were for feasibility studies which may result if further requests for support from the City and County of Swansea to progress or complete the projects. (although no commitment has been made from the CATF for further support)
- One grant recipient group was advised they may return to the fund after one year should further finances be required. The return would be scheduled for the 6th round and the group – Swansea Bowling Association - have expressed an intention to reapply if able.

4. Recommendation

4.1 The Panel decide the future of the Community Action Transformation Fund

- There is no finance to continue with the Community Action Transformation Fund (CATF). There is currently £2,000 remaining of the original budget of £300,000. Should members wish to support the scheduled 6th round of funding and/or a further extension to the Community Action Transformation Fund the Budget would need to be supplemented to allow for this.
- Should members decide not to, or are unable to secure funding for the scheduled sixth round of CATF funding and/or any future rounds the Fund will cease to operate, the relevant webpages will be removed and potential and returning applicants will be informed of the situation as and when appropriate.

5. Equality & Engagement Implications

5.1 Equality Impact Assessments will be undertaken as appropriate on any decisions made at the panel. An EIA screening form for the CATF is attached as Appendix 2

5.2 There is no requirement to undertake consultation on this decision. The fund was set up with no consultation and is not a statutory provision. We have been clear about the limitations of this fund throughout, and given no indication that any consultation would be carried out on any changes to the fund.

6. Financial Implications

6.1 The Community Action Transformation fund had a pot of £300,000 to distribute over the initial six scheduled rounds – Allocation over the five round to date totals £298,058, leaving a maximum £1,942 to allocate in round six/future rounds.

7. Legal Implications

7.1 The External Funding Panel is a Decision making panel as ratified by Cabinet at its meeting of 18th November 2014.

6.2 Any grant awarded will require a contract to be entered into between the applicants and the Council to which both parties will be legally bound.

Background Papers: External Funding panel Reports and minutes relating to CATF 2014-16.

Appendices:

Appendix A – Table of Successful Applications to date.

Appendix B – EIA Screening.

APPENDIX A – CATF Successful Applications

Round 1 December 2014

No	Group	Proposal Synopsis	Amount	Decision and Status
02	Bonymaen RFC	<p>East Side Academy For Sport The application is to fund a feasibility study for a proposed project entitled the 'East Side Academy for Sport'.</p> <p>Estimated (by Applicant) saving to CCS:: £20,000 per annum (should feasibility be acted upon as project proposed)</p>	£20,000	<p>Approved – PAID</p> <p>Feasibility drafted and out for consultation with Partners</p>
03	Mumbles Community Association	<p>Underhill Park Mumbles The City and County of Swansea Council has recently granted, subject to negotiation, a lease for 125 years on Underhill Park to Mumbles Community Association, application to develop proposals for the site.</p> <p>Estimated (by Applicant) saving to CCS: £16,000 per annum for pitch maintenance</p>	£10,000	<p>Approved. The funding is to be used for specific elements of the project, namely; Planning fees, Business planning/accountancy software and Architectural services, surveys and drawings</p> <p>PAID - 25/02/16</p>
04	Swansea Gymnastics Club	<p>Re-establish Swansea Gymnastics Club in a non-council facility.</p> <p>Estimated (by Applicant) saving to CCS: £16,000 PA (for three years guaranteed)</p>	£24,931	<p>Approved -PAID</p> <p>Club have moved to new premises.</p>
06	Boys and Girls Club of Wales	<p>Redevelopment of Swansea Boys Club - feasibility Study</p> <p>Estimated (by Applicant) saving to CCS: Dependant upon outcome of feasibility, demolition, refurbishment or remain vacant options to CCS</p>	£25,000	<p>Approved – PAID</p> <p>Feasibility Drafted and Currently out for public consultation</p>
	TOTAL R1			£79, 931

Round 2 April 2015

No	Group	Proposal Synopsis	Amount	Decision and Status
02	Mumbles Community Council	Bowling green at Oystermouth e Estimated (by Applicant) saving to CCS: £10,000 pa	£5,500	Approved NOT YET PAID Due to be paid once the project had approval from Mumbles CC
04	Friends of Dunvant Park	Feasibility Study – Park Pavilion Estimated (by Applicant) saving to CCS: £1,000 pa (plus backlog of maintenance or refurbishment)	£7,524	Approve - PAID Consultants in the process of being employed, delay due to asbestos issues - Feasibility will commence November 2015 (access to pavilion permitting)
07	Ospreys Rugby	Feasibility Study – City of Sport Estimated (by Applicant) saving to CCS: dependent upon outcome of feasibility – could be up to £300K pa of St Helens Ground Transferred.	£25,000	Approved – PAID Feasibility being drafted
	TOTAL R2			£38,024

Round 3 September 2015

No	Group	Proposal Synopsis	Amount	
01	Ynystawe Cricket and Football Club	<p>The project is for the transfer of asset and responsibility for the Cricket and Football pitches at Ynystawe Park to the club.</p> <p>Estimated (by Applicant) saving to CCS: approximately £15,000 pa</p>	£23,070	<p>Approved, subject to completion of lease, equipment only to be purchased in the event of a suitable lease being agreed</p> <p>NOT YET PAID</p>
03	Coed Bach Park Community Bowls Association	<p>Bowling facilities at Coed Bach Park, Pontarddulais.</p> <p>Estimated (by Applicant) saving to CCS: £7,200 per annum plus estimated further maintenance in the region of £12,000 to £15,000 for urgent renewal of surrounds.</p>	£30,275	Approved - PAID
	TOTAL R3			£53,345

Round 4 April 2016

No	Group	Proposal Synopsis	Amount	Decision and Status
01	Parc Williams Community Bowling Association	<p>Bowling Facilities at Parc Williams Loughor</p> <p>Estimated (by CCS) saving to CCS: £7,200 pa (green maintenance) and £15,000 for green and bank surrounds</p>	£24,277	Approved And Paid
02	Beaufort Bowls Association	<p>Bowling facilities at Beaufort Road Green, Landore.</p> <p>Estimated (by Applicant) saving to CCS: £30,000 (figure based on what the club has been told it costs to maintain the green when soil and dressing are added plus the surrounding hedge. This does not include pathway or what is requested for the banks.</p>	£20,000	Approved and Paid
03	St Thomas Church – Clock	<p>Maintenance and upkeep of St Thomas church clock.</p> <p>Estimated (by Applicant) saving to CCS: the cost for an annual winding contract is in the region of £2,000 - £3,000 per year</p>	£2,155	Approved and Paid
05	City & County of Swansea Bowls Association.	<p>Bowling Facilities at</p> <ul style="list-style-type: none"> • Dyfatty Park • Coedgwilym Park • Jersey Park <p>Estimated (by Applicant) saving to CCS: £10,002.54 ongoing maintenance/materials costs per annum per bowling green (these costs are based on those provided by the City and County of Swansea and are broken down as thus - £4178.65 plus VAT for cost including end of season renovations and £4156.80 plus VAT for a conservative 120 days irrigation</p>	£37,000	Approved and Paid

		at £34.64 per time. Plus the cost of raising the greens, estimated at £3200 per green. (estimate provided by group)		
06	Coed Gwilym Bowls Association	Storage facilities for bowling green, linked directly to previous application Estimated (by Applicant) saving to CCS: approximately £5,014 pa	£2,010	Approved and Paid
	TOTAL R4			£85,442

Round 5 –October 2016

No	Group	Proposal Synopsis	Amount	Status
02	Llanrhidian Higher Community Council	Site at Graig Y Coed, 11 acres comprising Rugby Pitch, Football Pitch, Pavilion and Associated land Estimated (by CCS) saving to CCS: £12,000 per annum	£23,800	Grant Offer made – awaiting response.
03	Parc Y Werin Bowling Association	Bowling greens in Parc Y Werin, Gorseinon. Estimated (by Applicant) saving to CCS: £16,000	£11,240	Grant Offer made – awaiting response
04	Morrison Tabernacle Congregation Building Trust – Clock	Repairs and Maintenance of Chapel Clock Estimated (by Applicant) saving to CCS: the cost for an annual winding contract is in the region of £2,000 - £3,000 per year	£6,276	Grant Offer made – awaiting response
	TOTAL R5			£41,316
	Total Allocated to end 5 th Round			£298,058

Appendix B

Equality Impact Assessment Screening Form

Please ensure that you refer to the Screening Form Guidance while completing this form. If you would like further guidance please contact your directorate support officer or the Access to Services team (see guidance for details).

Section 1

Which service area and directorate are you from?

Service Area: Poverty and Prevention

Directorate: People

Q1(a) WHAT ARE YOU SCREENING FOR RELEVANCE?

Service/ Function Proposal	Policy/ Procedure	Project	Strategy	Plan	
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

(b) Please name and describe below
Community Action Transformation Fund

Q2(a) WHAT DOES Q1a RELATE TO?

Direct front line service delivery	Indirect front line service delivery	Indirect back room service delivery
<input type="checkbox"/> (H)	<input checked="" type="checkbox"/> (M)	<input type="checkbox"/> (L)

(b) DO YOUR CUSTOMERS/CLIENTS ACCESS THIS...?

Because they internal need to	Because they want to	Because it is automatically provided to everyone in Swansea	On an basis i.e. Staff
<input type="checkbox"/> (H)	<input checked="" type="checkbox"/> (M)	<input type="checkbox"/> (M)	<input type="checkbox"/> (L)

Q3 WHAT IS THE POTENTIAL IMPACT ON THE FOLLOWING...

	High Impact (H)	Medium Impact (M)	Low Impact (L)	Don't know (H)
Children/young people (0-18) →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Any other age group (18+) →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Disability →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Gender reassignment →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Marriage & civil partnership →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Pregnancy and maternity →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Race	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Religion or (non-)belief	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Sex	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Sexual Orientation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Welsh Language	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Poverty/social exclusion	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Carers (inc. young carers)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Community cohesion	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Q4 HAVE YOU / WILL YOU UNDERTAKE ANY PUBLIC CONSULTATION AND ENGAGEMENT RELATING TO THE INITIATIVE?

YES NO (If NO, you need to consider whether you should be undertaking consultation and engagement – please see the guidance)

If yes, please provide details below

Q5(a) HOW VISIBLE IS THIS INITIATIVE TO THE GENERAL PUBLIC?

High visibility
 (H)

Medium visibility
 (M)

Low visibility
 (L)

(b) WHAT IS THE POTENTIAL RISK TO THE COUNCIL'S REPUTATION?
(Consider the following impacts – legal, financial, political, media, public perception etc...)

High risk
 (H)

Medium risk
 (M)

Low risk
 (L)

Q6 Will this initiative have an impact (however minor) on any other Council service?

Yes No **If yes, please provide details below**
The CATF supports asset transfer and can affect council departments ability to withdrawn from services or assets. The fund supports the community to undertake the services or responsibility for assets for the transitional period

Q7 HOW DID YOU SCORE?
Please tick the relevant box

MOSTLY H and/or M → **HIGH PRIORITY** → EIA to be completed
Please go to Section 2

MOSTLY L → **LOW PRIORITY /** → Do not complete EIA

NOT RELEVANT

**Please go to Q8
followed by Section 2**

Q8 If you determine that this initiative is not relevant for a full EIA report, you must provide adequate explanation below. In relation to the Council's commitment to the UNCRC, your explanation must demonstrate that the initiative is designed / planned in the best interests of children (0-18 years). For Welsh language, we must maximise positive and minimise adverse effects on the language and its use. Your explanation must also show this where appropriate.

The Community Action Transformation Fund is was established to facilitate Asset transfer of property or services the City and County of Swansea wishes / can withdraw from to save money under the Sustainable Swansea policy. The budget allocated to the fund is currently spent out – if Members choose or are unable to facilitate its replenishment the asset transfer policy will still go ahead – there may however be limitations on the groups that are proposing to take over the assets if they cannot access additional funding to progress the smooth takeover of the asset. There is some danger that if the community do not take over control of the asset or service in question it could be lost, however the assets and services in question are so diverse it is difficult to second guess the effect on the community and those with protected characteristics.

There is no requirement to undertake consultation on this decision. The fund was set up with no consultation and is not a statutory provision. We have been clear about the limitations of this fund throughout, and given no indication that any consultation would be carried out on any changes to the fund.

CATF is not in need of a full EIA since this the fund and the decision to continue with its support and the potential future applicants is unknown and at such a high level that the impacts could not be assessed. Consequently, the impacts on protected characteristics (at this level) could all be viewed as LOW impact

Section 2

NB: Please email this completed form to the Access to Services Team for agreement before obtaining approval from your Head of Service. Head of Service approval is only required via email – no electronic signatures or paper copies are needed.

Screening completed by:
Name: Spencer Martin
Job title: Third sector relationship officer
Date: 21 st October 2017
Approval by Head of Service:
Name: Jane Whitmore
Position: Partnership Performance and Commissioning Manager
Date: 26 th October 2016

Please return the completed form to accesstoservices@swansea.gov.uk